**Annex D – Additional Declaration Form [For Private Sector Applicant(s)]**

**Instructions:**

1. Private Sector Applicants must upload this Annex D – Declaration Form and the supporting documents onto Integrated Grants Management System (IGMS) as attachments during proposal submission stage.
2. Please ensure that you have submitted all required documents as your application is deemed invalid for any incomplete / missing documents.
3. The form has to be filled by all private sector entity who is involved in the project as the host or partner institution.

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| **S/N** | **Questions** | **Response** | **Supporting Documents Provided By PI** | **Remarks** |
| 1 | Please indicate the type of entity that the company falls under.  You can refer to the definitions of different private sector entity types in Annex I below.  *.* | Singapore Large Local Enterprises  Singapore Small Medium Enterprises  Singapore start-ups  Singapore not-for-profits  Non-Singapore entities | *Please attach the most recent supporting documents (e.g. within the same month of the proposal submission) from ACRA website that allow grantor to verify the local shareholding, annual sales turnover, etc.* |  |
| 2 | Is the company currently or has been:   1. bankrupt wound up or under judicial management, or 2. subject to any bankruptcy, or   winding up or judicial management proceedings, or   1. appointed a receiver or manager? | Yes  No | *If yes, please elaborate and provide supporting documents.* |  |
| 3 | The proposed project is within the total budget cap of <$500k for research project and <$2 million for test  bedding/ demonstration/ scale-up which does not involve collaborating with a public research performer. | Yes  No | *If no, please indicate the public research performer and provide supporting document for grantor to verify that the proposed project will be collaborating with a public research performer as the project exceeds the total budget cap or any other documents for grantors to verify that such exceptions will be considered.* |  |
| 4 | Supporting documents attached on IGMS – to check the boxes once they are attached | Hardware/Equipment and Software cost (e.g. Quotation of item by number of units and unit cost)  Expenditure of Manpower cost (e.g. employment or appointment letters of staff). If staff is not identified yet, provide the job description and designation.  Other Operating Expenses cost items (e.g. consultancy cost (fee breakdown by phases, description of activity in each phase and number of man-days)  Audited financial statements for the past 3 years |  |  |
| 5 | Has the company applied for Innovation & Enterprise (I&E) and consortia schemes[[1]](#footnote-2) in the past? | Yes  No | *If yes, please provide details (i.e. project, funding scheme, duration, amount awarded, status) of the I&E and consortia schemes that were tapped on. Please also elaborate on why your private company is not tapping on the I&E and consortia schemes for this grant application and provide supporting documents, if any.* |  |

**Annex I: Definitions of Different Private Sector Entity Types[[2]](#footnote-3)\***

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| Type of Private Entity | Criteria |
| Non-Singapore entities | * <30% local shareholding, determined by the ultimate individual ownership. |
| Singapore Large Local Enterprises (LLEs) | * ≥30% local shareholding; and * More than $100M in annual turnover. |
| Singapore Small Medium Enterprises (SMEs) | * Have Group Annual Sales Turnover of not more than $100M, or maximum employment of 200 employees. * To qualify as a Singapore entity, they must also have at least 30% local shareholding, i.e., local equity held directly or indirectly by Singaporean(s) and/or Singapore PR(s) |
| Singapore start-ups | * Registered for less than 5 years at time of grant application; * Has individual ownership of more than 50% at reference year; and * Employs at least 1 worker. * To qualify as a Singapore entity, they must also have at least 30% local shareholding. |
| Singapore not-for-profits | * Registered as a public Company Limited by guarantee, society or charity trust; and * Main purpose is to support or engage in activities of public or private interest without any commercial or monetary profit, and are prohibited from distributing monetary residual to their own members. * To qualify as a Singapore not-for-profit, the entity must meet all 3 of the following criteria:   1. Registered and physically present in Singapore;   2. Core funding (i.e., excl. competitive grant funding) is derived entirely/mostly from Singapore entities; and   3. Managed by a Board, which is at least half appointed by Singapore entities. |

1. Examples of Innovation & Enterprise (I&E) and consortia schemes includes the Industry Alignment Fund (IAF) Collaboration Project (ICP) & Pre-positioning (PP), Technology for Enterprise Capability Upgrading (T-Up), Central Gap Fund, Enterprise Development Grant (EDG), StartupSG Equity. Please note that this is a non-exhaustive list. Applicants should include details of the schemes that were tapped on currently, previously or under consideration. [↑](#footnote-ref-2)
2. \* NR Fund Guidelines for RIE funding of private sector R&D activities (19 Jul 2021). [↑](#footnote-ref-3)